



IOWA DEPARTMENT OF NATURAL RESOURCES

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# SUSTAINABLE URBAN FORESTRY TRAINING AND ASSISTANCE

IN PARTNERSHIP WITH THE USDA FOREST SERVICES



# Developing a preventive pruning program in your community: Young trees



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# Pruning can reduce damage



**Not pruned**

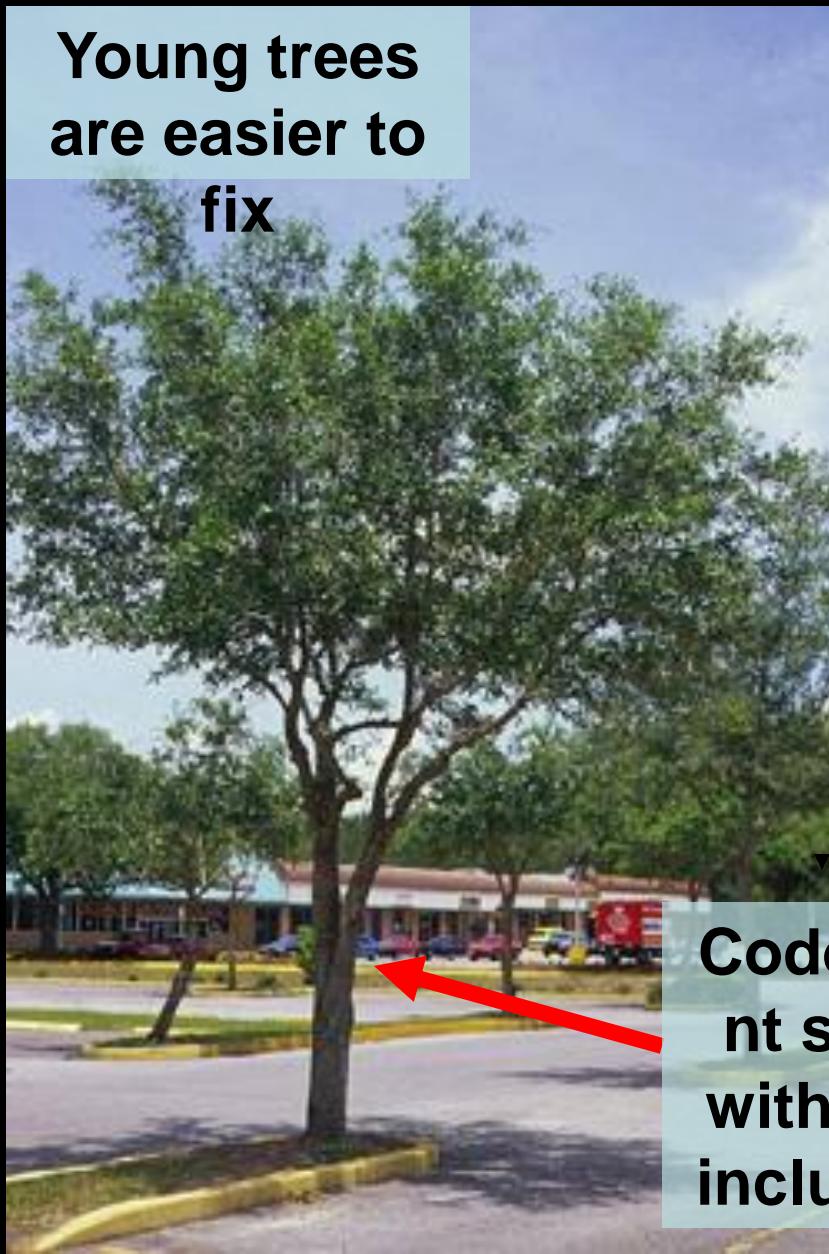
A recent study at UF showed that pruning reduces the angle of trunk bend when trees are exposed to high winds.



**Reduction pruning**

# Inaction can cause structural problems

Young trees  
are easier to  
fix



Older trees are  
more  
challenging to  
treat



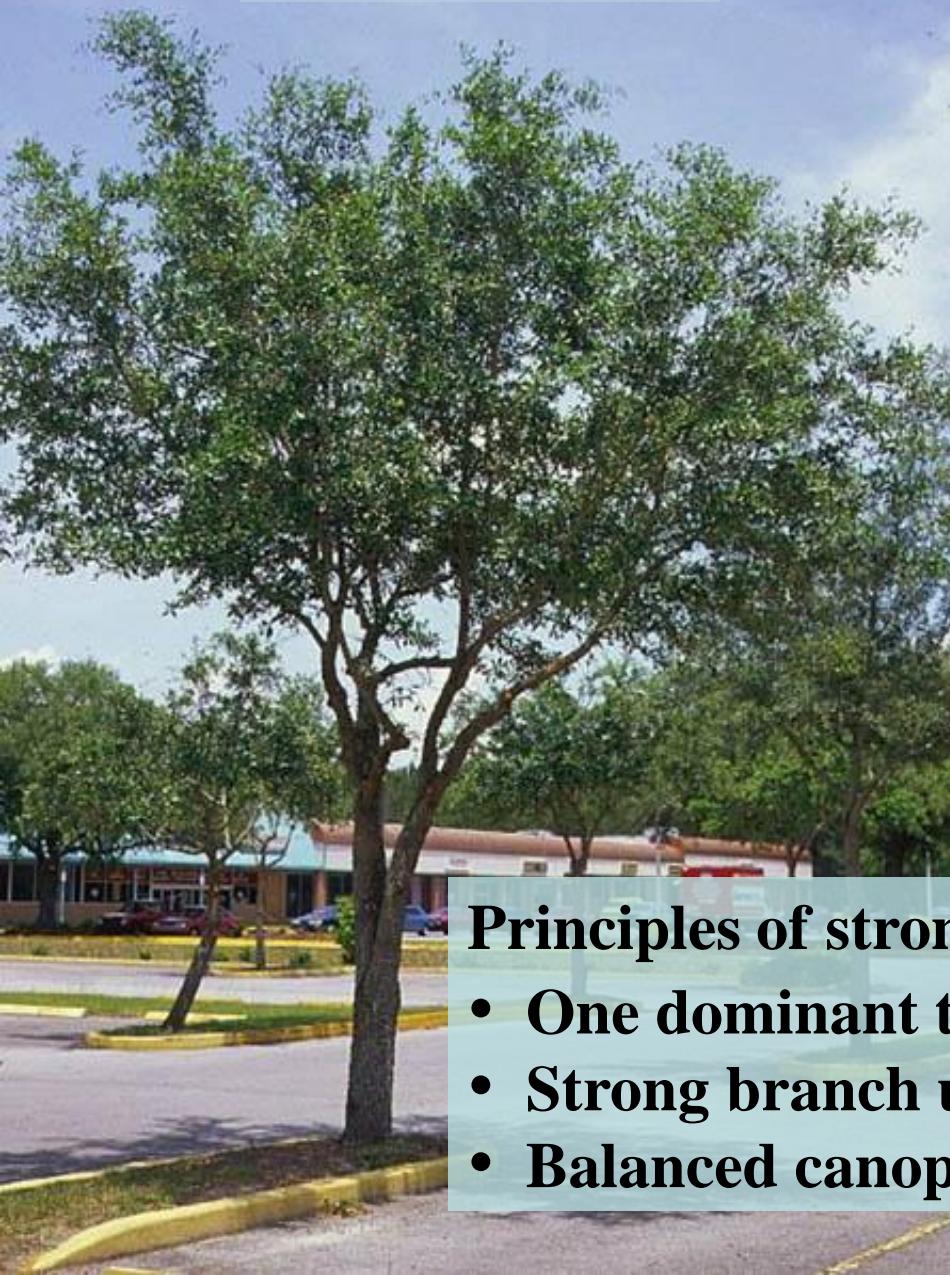
Codominant  
stems  
with bark  
inclusions

# Preventive Pruning: young trees

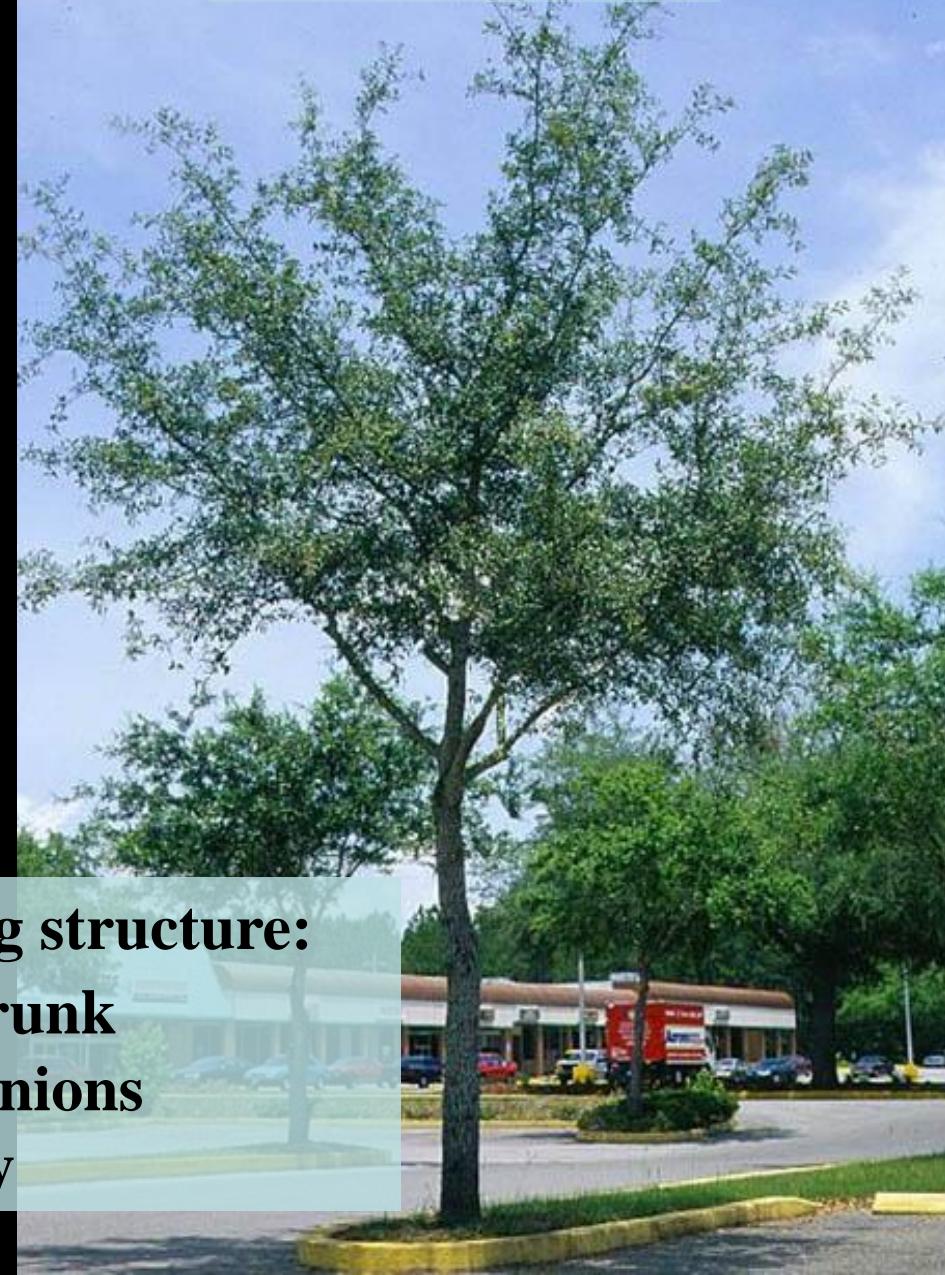
- Set objectives
- Determine pruning cycle and dose
- Execute pruning plan
  - make good cuts
  - prioritize trees with structural issues
  - temporary vs. permanent branch management



# Poor form



# Good form



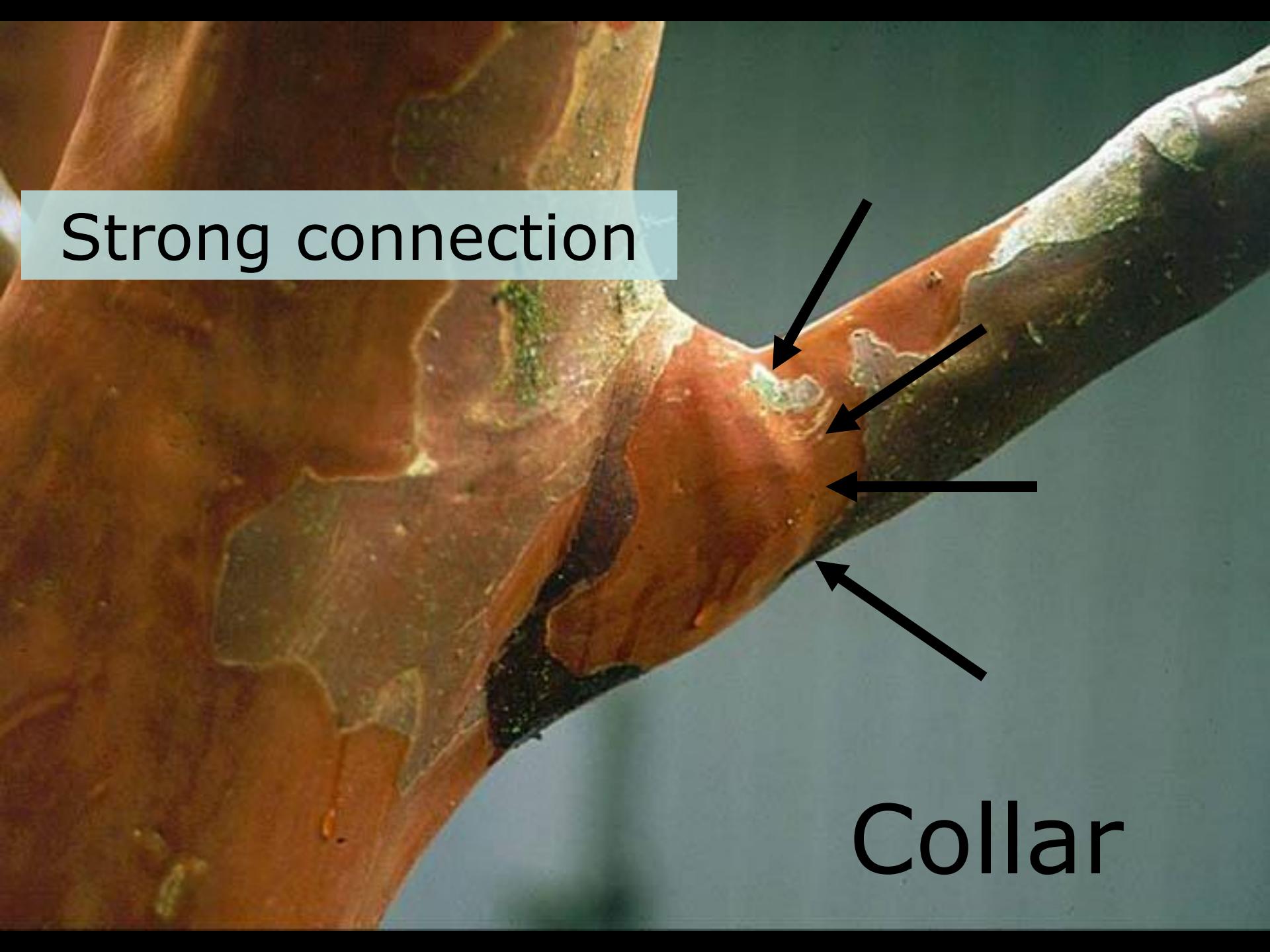
## Principles of strong structure:

- One dominant trunk
- Strong branch unions
- Balanced canopy

# Objective: Reduce structural issues that cause tree failure

- **Codominant stems:** stems of equal size originating from the same point on the tree
- **Included bark:** bark pinched between two stems, indicating a weak union
- **Unbalanced canopy:** one side much heavier, or most weight at the tips of branches
- **Large low branches:**



A close-up photograph of a tree trunk. The bark is dark brown and textured. A horizontal line of reddish-brown, possibly dried sap or a graft, runs across the trunk. The word "Strong connection" is overlaid in a light blue box on the left side of the image, with four black arrows pointing to the reddish-brown line.

Strong connection

Collar

# Weak structure: codominant stems and bark inclusions



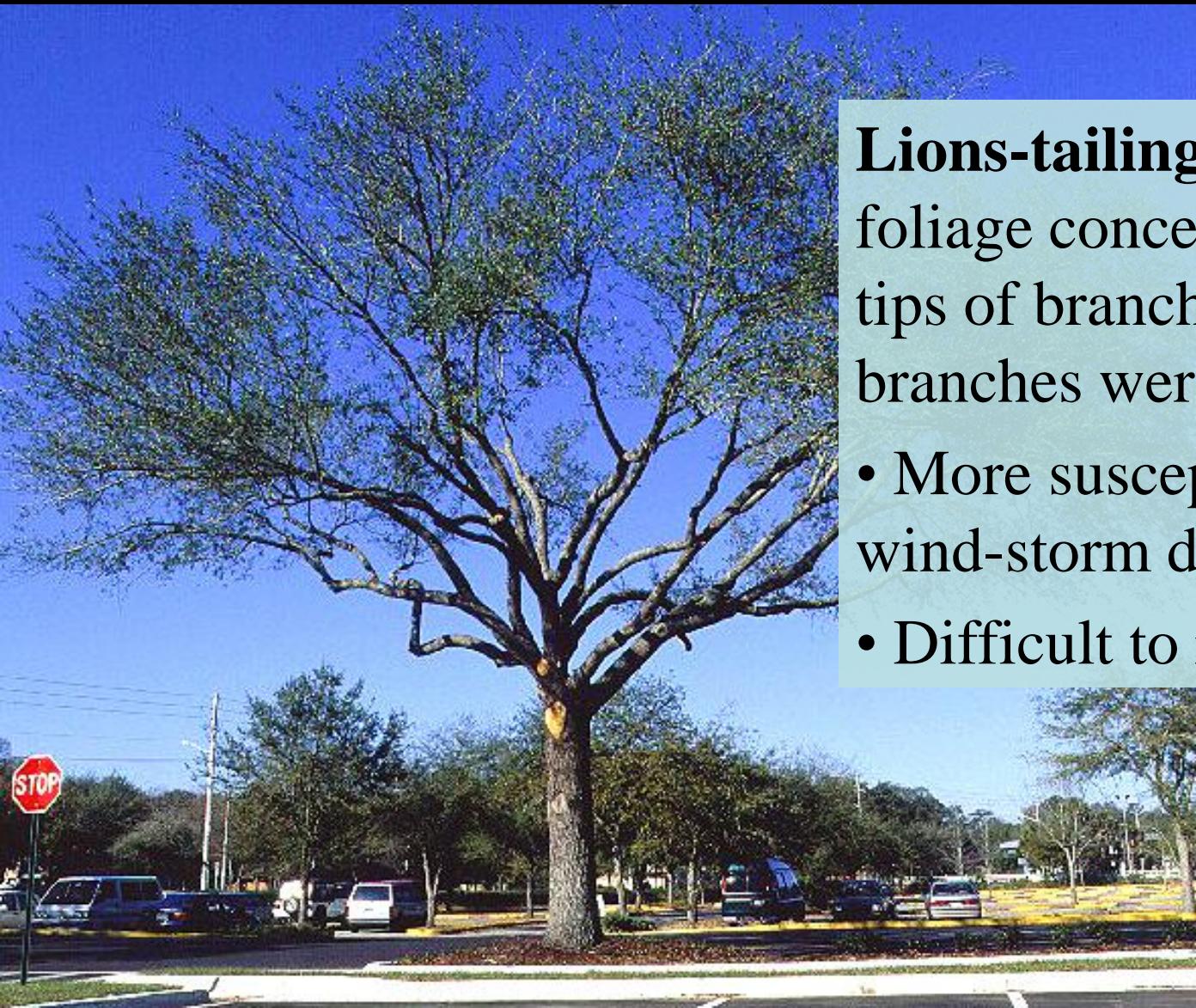
# Codominant stems often cause branch failure in storms



# Failure due to bark inclusion



# Unbalanced canopy



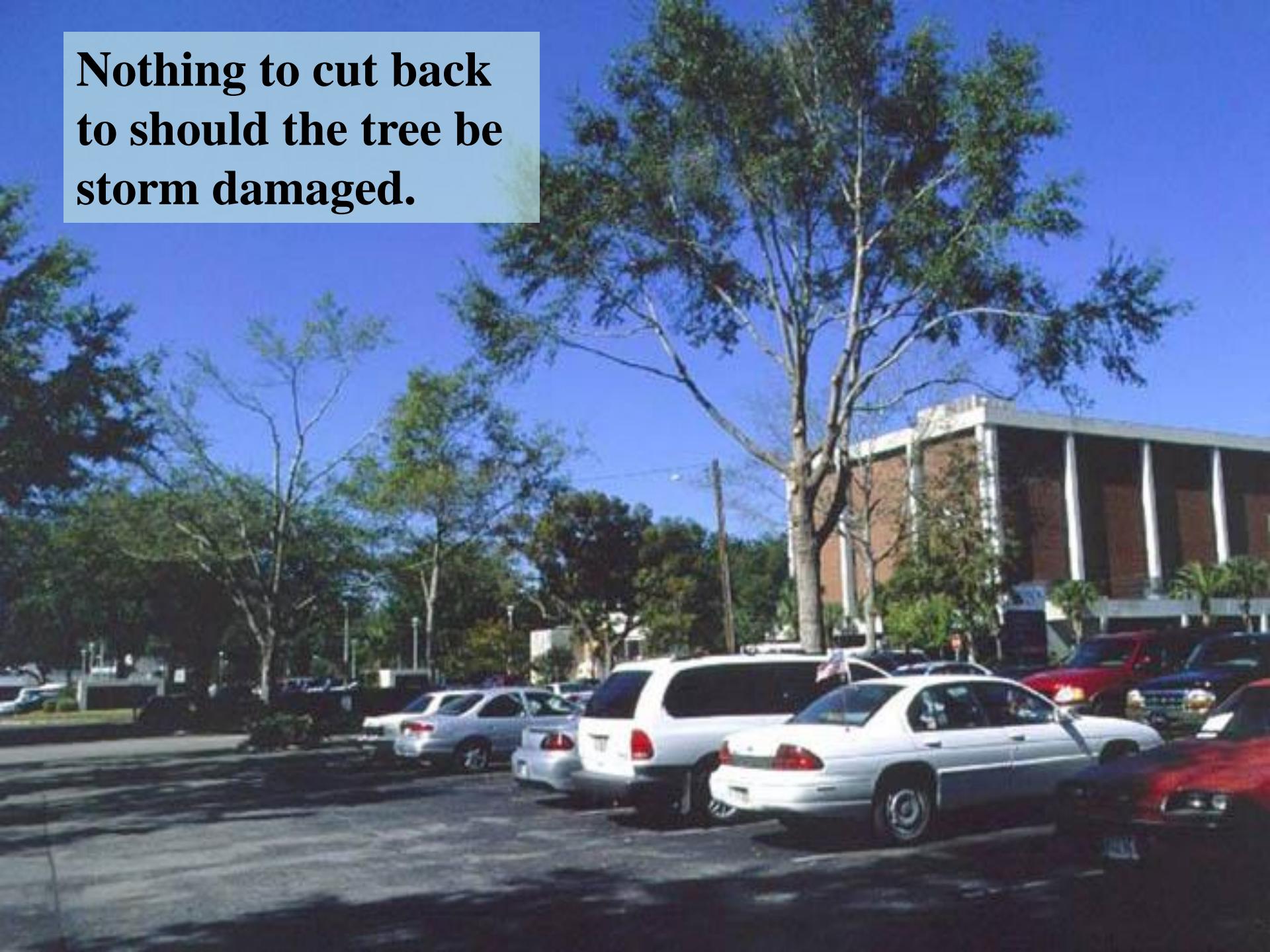
**Lions-tailing:** trees with foliage concentrated at the tips of branches because inner branches were removed.

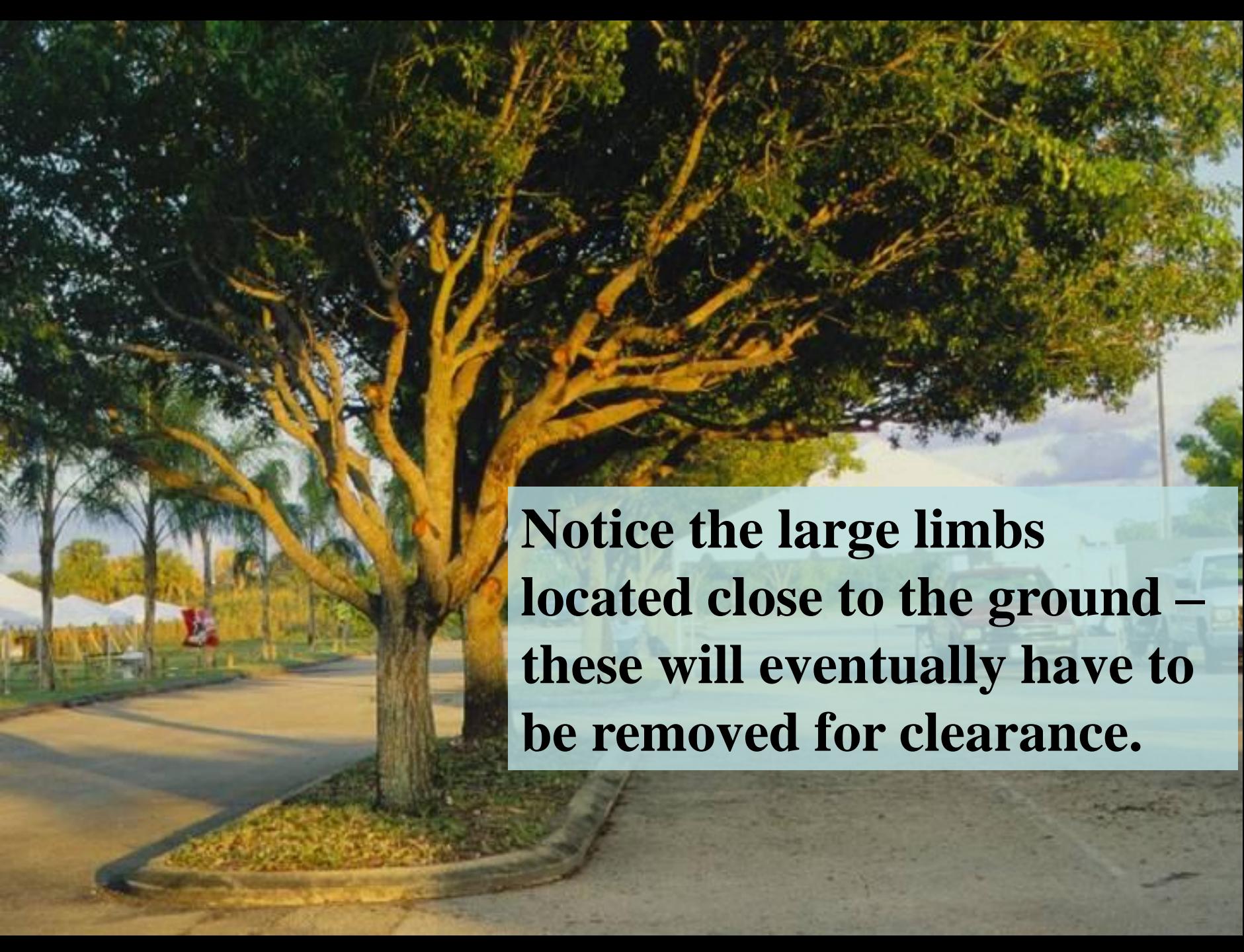
- More susceptible to wind-storm damage
- Difficult to restore

# Lions-tailed trees failed



**Nothing to cut back  
to should the tree be  
storm damaged.**





**Notice the large limbs  
located close to the ground –  
these will eventually have to  
be removed for clearance.**

Big cuts can  
result in decay  
and cracks.



# Your goal



# Poor management



# Better management



Low and big cuts  
can be avoided  
with early pruning.



# Objective: Prune to promote strong structure

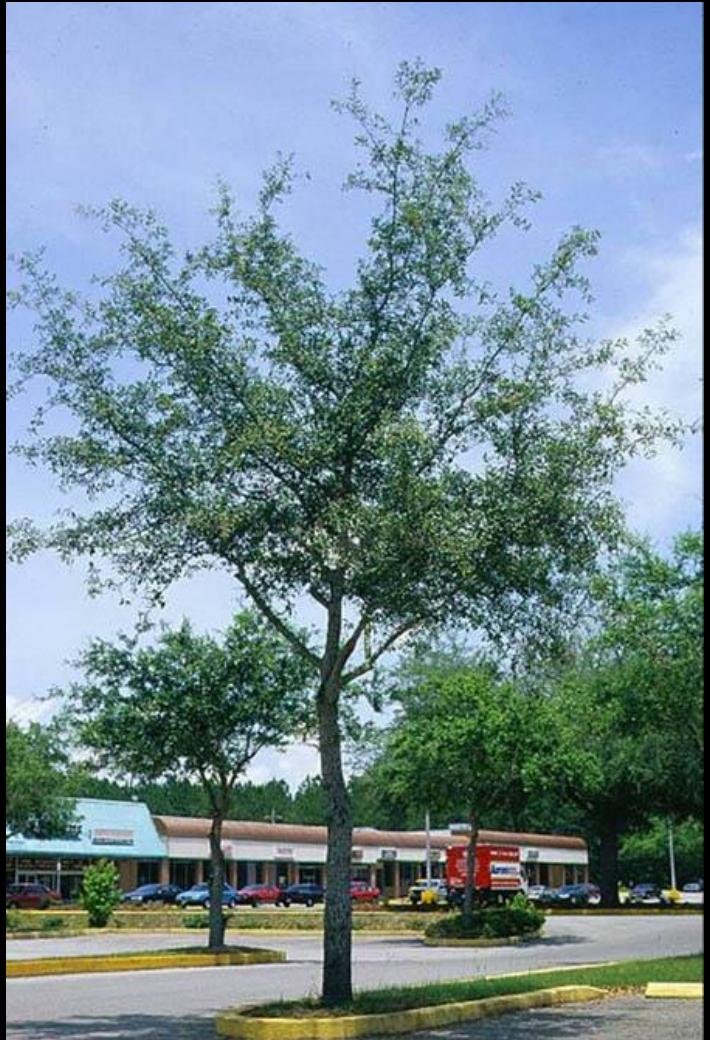
## Structural Pruning Strategies:

1. Develop or maintain a dominant leader
2. Identify lowest branch in the permanent canopy
3. Prevent branches below the permanent canopy from growing too large
4. Space main branches along dominant trunk
5. Keep all branches less than  $\frac{1}{2}$  the trunk diameter
6. Suppress growth on branches with included bark

*Trees require about 25 years of training to develop strong structure.*

# Preventive Pruning: young trees

- Set objectives
- Determine pruning cycle and dose
- Execute pruning plan
  - make good cuts
  - prioritize trees with structural issues
  - temporary vs. permanent branch management



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# Pruning cycle: the interval or time between each pruning event

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- Depends on quality of nursery stock, growth rate, climate, and species.
- Should be shorter in warmer climates where trees grow faster.
- A longer pruning cycle can lead to larger cuts having to be made to correct structural issues.

# Determine a pruning cycle

Pruning cycle:

- more than 3-5 years = higher pruning dose
- every 1-2 years = smaller pruning dose

Suggested program:

- At planting
- Year two or three
- Year five or six
- Year ten
- Year fifteen

# Pruning dose: the amount of live tissue removed at one pruning

- Depends on customer expectations, the size of the stems, and the pruning cycle.

Low pruning dose (< than 20%)	Higher pruning dose 
Mature or recently planted trees	Young, established trees
Cooler climates with short growing season	Warm climates where trees have longer growing season
Decay prone species	Good compartmentalizers

# Appropriate Pruning Dose for Specific Applications

<i>Large Pruning Dose</i>	<i>Small Pruning Dose</i>
Municipality	Residences, commercial properties
Long pruning cycle	Short pruning cycle
Aesthetics of less concern	Aesthetics are a concern

## Effects on the Tree from Applying Pruning Doses

<i>Large Pruning Dose</i>	<i>Small Pruning Dose</i>
Larger pruning wounds	Smaller pruning wounds
Larger void in canopy	Smaller void in canopy
Greatly encourages growth in unpruned portions of tree	Encourages some growth in unpruned portions of the tree

# Impact of pruning dose on co-dominant stem growth

before



after 75% dose



# Impact of pruning dose on co-dominant stem growth

**Foliage removed for 75% dose**







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**Maximum critical diameter:** the largest diameter pruning cut you are willing to make on a certain species

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- This limit should be set for both removal and reduction cuts.
- Should be smaller for decay-prone species.
- Is controlled by the pruning cycle

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**Branch size:** - proportion relative to trunk  
- actual diameter of stem

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Branch size	Consequences of Removal	Recommended Action
Less than $\frac{1}{2}$ trunk diameter	Few consequences	Remove if needed
$\frac{1}{3}$ to $\frac{1}{2}$ trunk diameter	Some trunk defects could result	Consider shortening instead
More than $\frac{1}{2}$ trunk diameter	Defects likely	Shorten instead of removing
Large enough to have heartwood	Defects likely	Shorten instead of removing

# Preventive Pruning: young trees

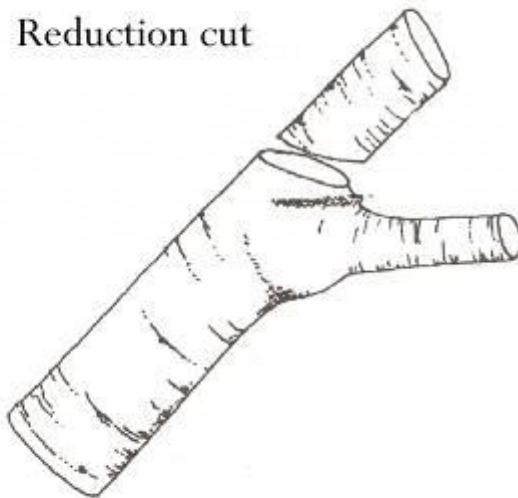
- Set objectives
- Determine pruning cycle and dose
- Execute pruning plan
  - make good cuts
  - prioritize trees with structural issues
  - temporary vs. permanent branch management



# Types of pruning cuts:

**Reduction cut** shortens the length of a stem by pruning back to a smaller limb.

Reduction cut

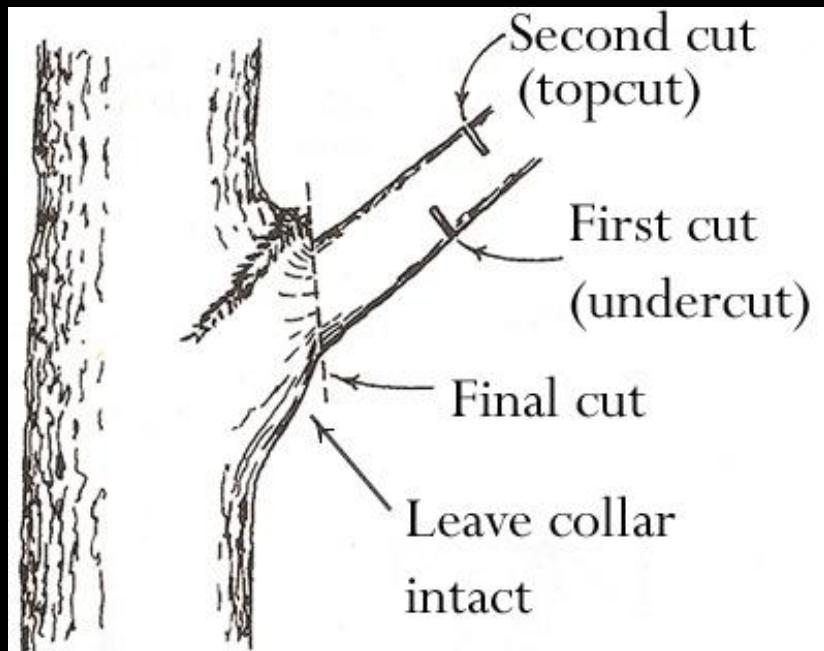


Removal cut

**Removal cut** prunes a branch back to the trunk or parent branch.



# Make good pruning cuts



## Step 1

Make an undercut about 12 inches from the trunk.

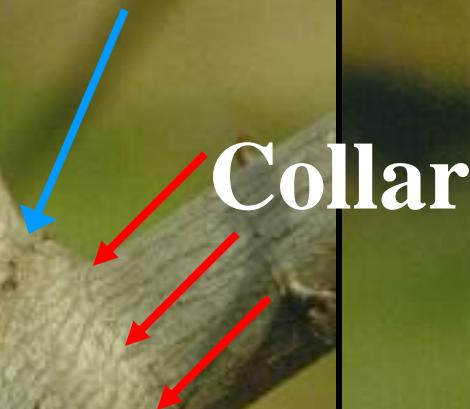
## Step 2

Make a topcut farther out on the limb.

## Step 3

Remove the stub with final cut, being careful not to cut flush against the trunk.  
Leave the collar intact.

# Branch bark ridge

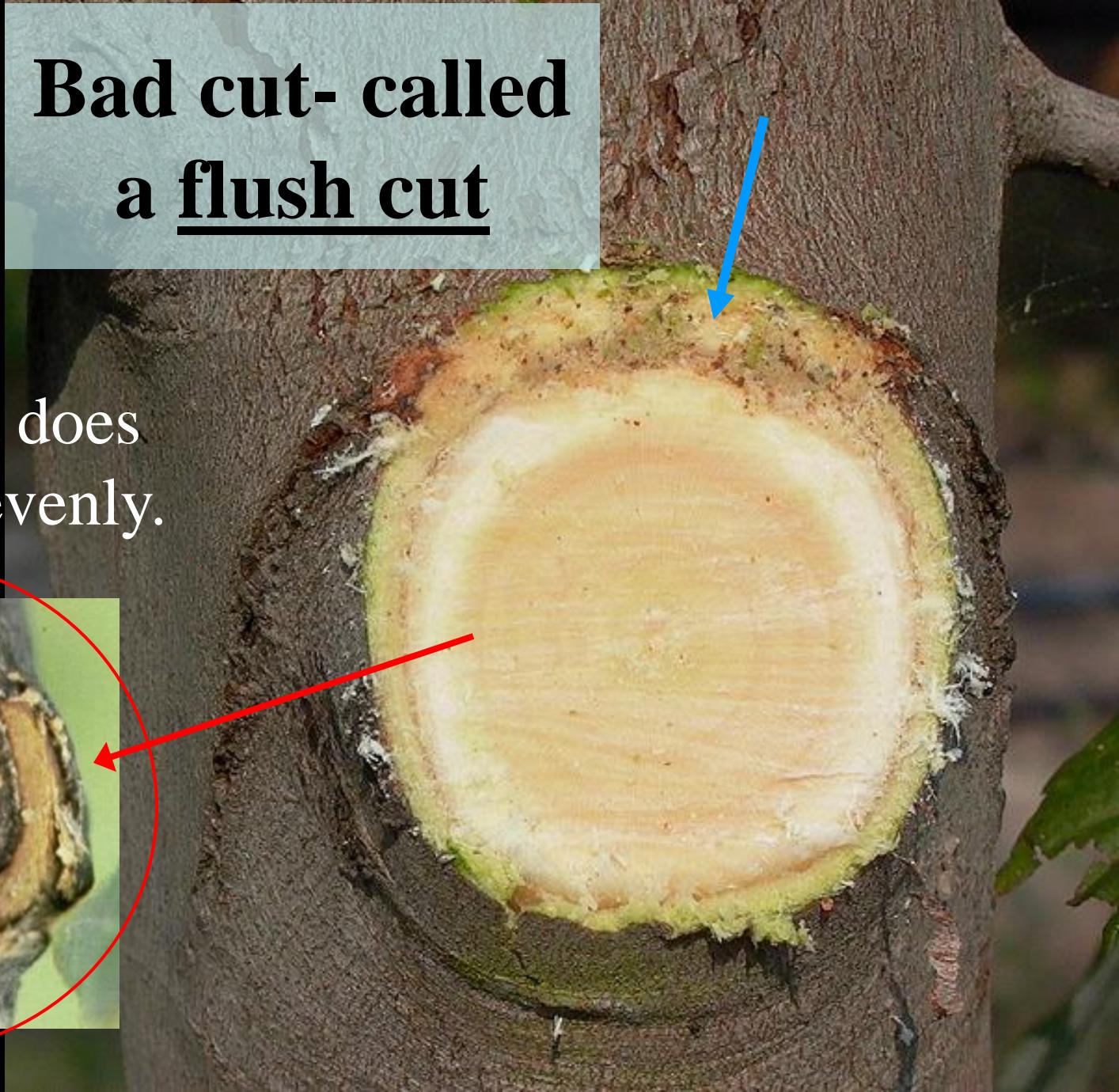


**Collar:** swollen area at the base of the branch where it joins the trunk. The tissue is rich in energy reserves and chemicals that hinder the spread of decay. Good pruning cuts avoid cutting into the collar.

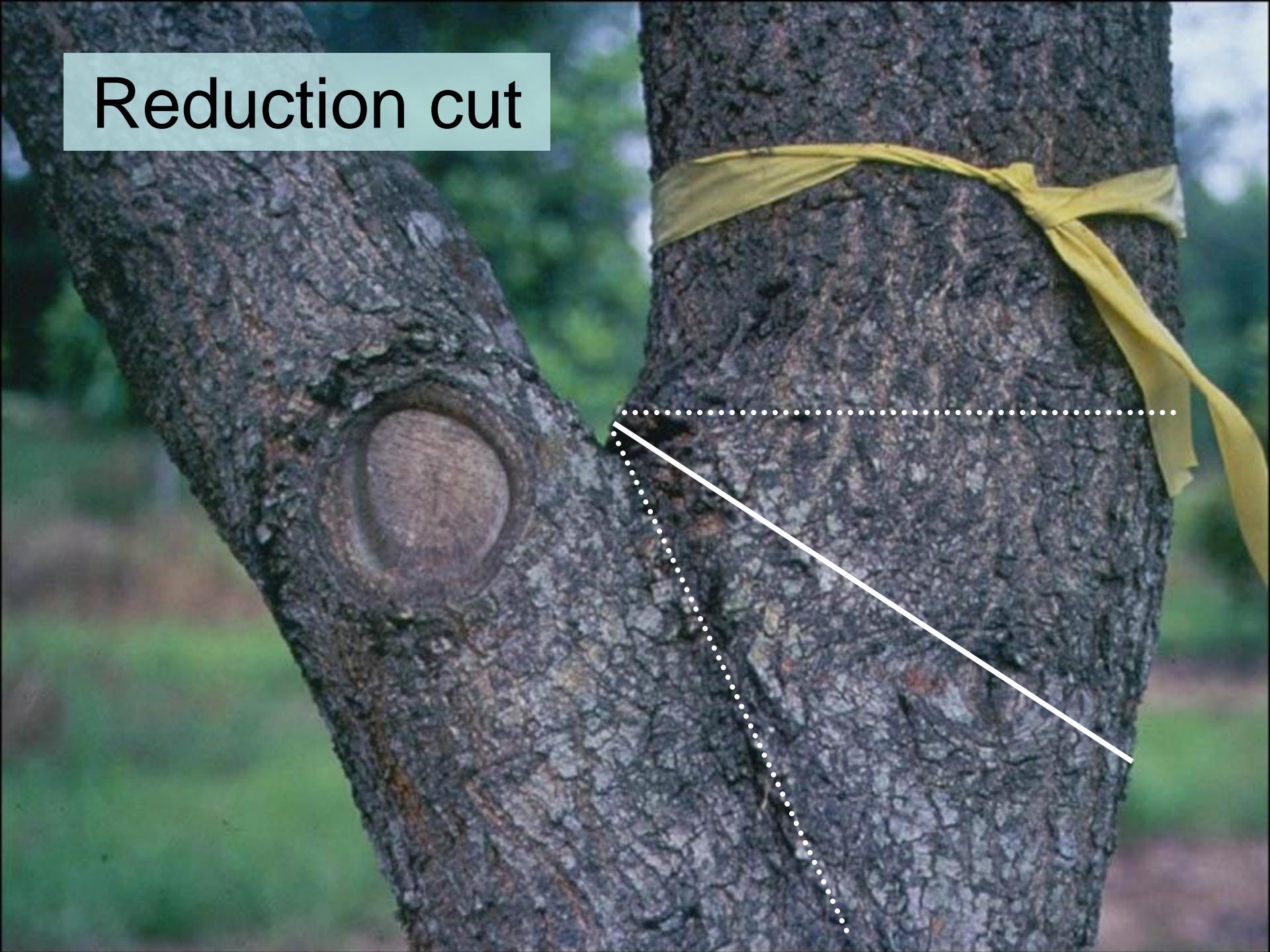
No / yes

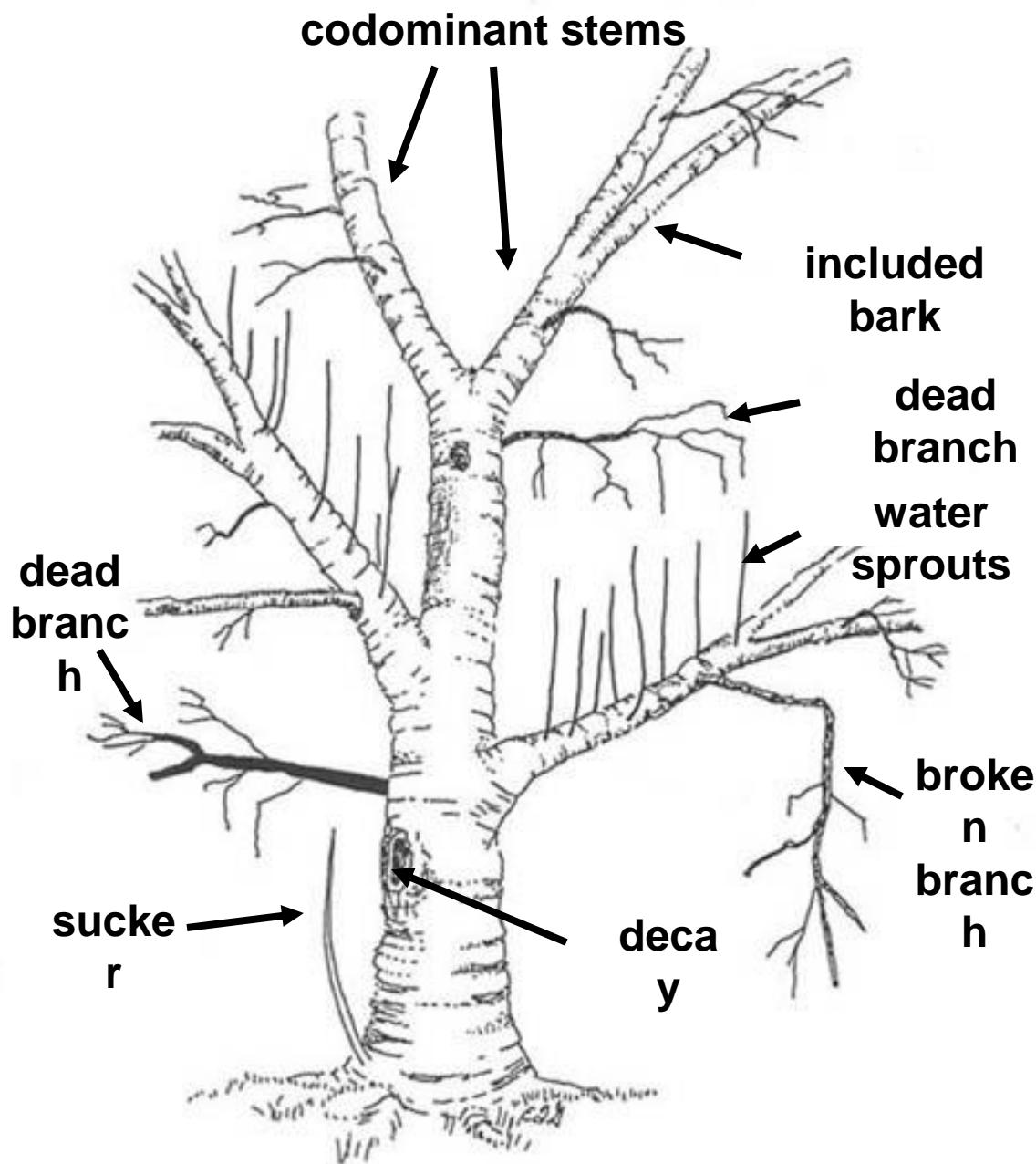
## Bad cut- called a flush cut

Wound wood does  
not develop evenly.



# Reduction cut





# Common mature tree problems

# Preventive Pruning: mature trees

- Set objectives
- Determine pruning cycle and dose
- Execute pruning plan
  - make good cuts
  - prioritize trees with high risk structural issues
  - choose appropriate pruning type

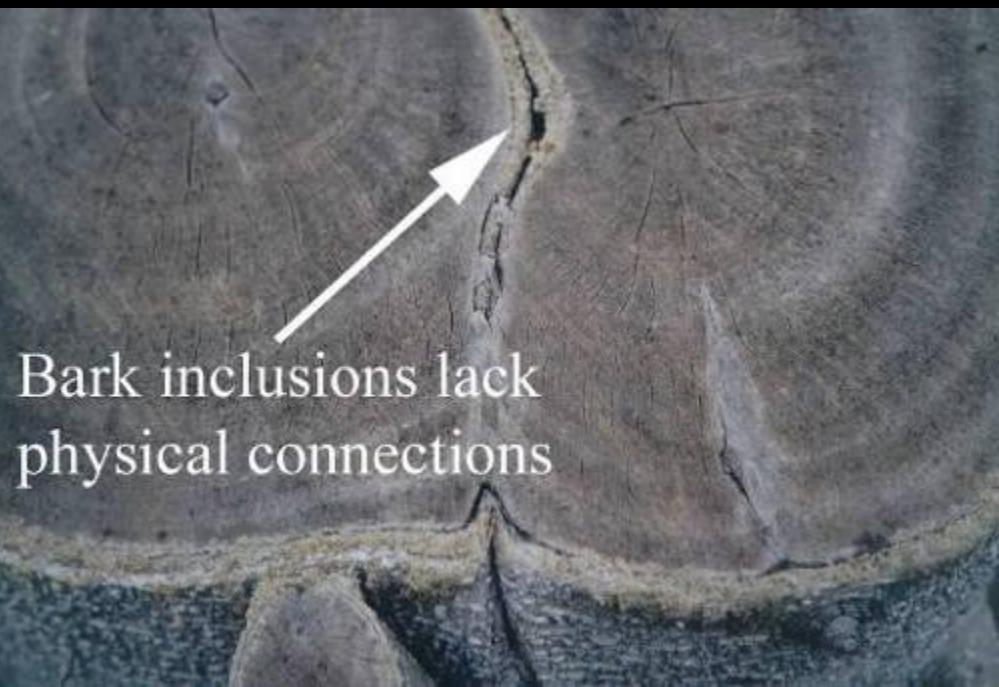


# Pruning objectives:

- Reduce risk of failure – minimize storm damage
- Promote human safety
- Allow for safe passage
- Increase sun penetration to the ground
- Maintain health

# Objective: Reduce risk of failure

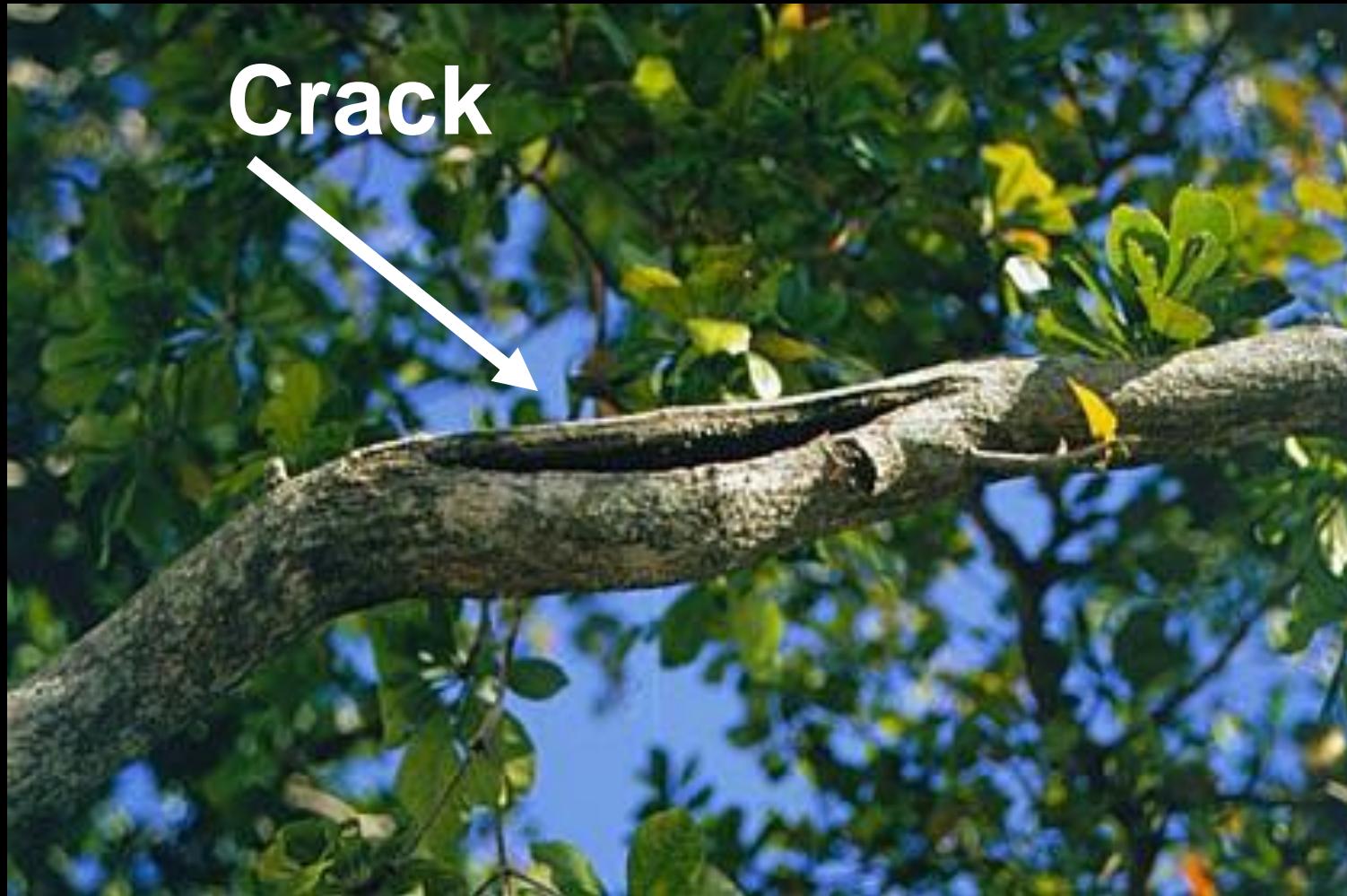
- Identify risks
  - Bark inclusions
  - Cracks
  - Over-extended limbs
  - Leaning trees
  - Root decay
  - Girdling roots
- Reduce conditions that could lead to catastrophic branch or tree loss.



# Failure due to bark inclusion



Cracks are evident and indicate weakness





Horizontal crack

Reduce  
branch with  
crack



# Broken branch



Reduction could  
have prevented this



**Before pruning a leaning tree**



**After pruning**



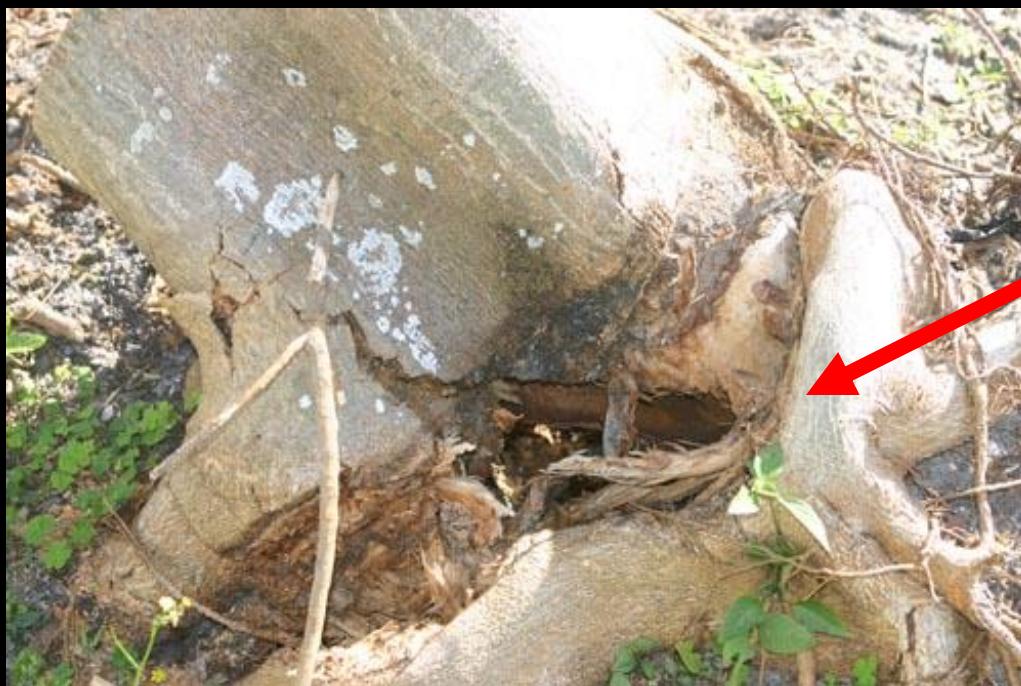


# Severed and decayed root systems





Stem girdling roots may cause trees to topple



Location of girdling roots. Notice that there are few supporting roots on this side of the tree.

# Stem girdling roots

- Roots that circle around the base of the trunk

Girdling root



After removing girdling  
roots



# Objective: Promote human safety

- Avoid expensive damage



# Pruning strategies

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- Execution
  - Prioritize which trees to prune
  - Decide location of lowest permanent limb  
*temporary branch management vs. permanent branch management*

Prioritize:

Structural pruning not  
as important on these

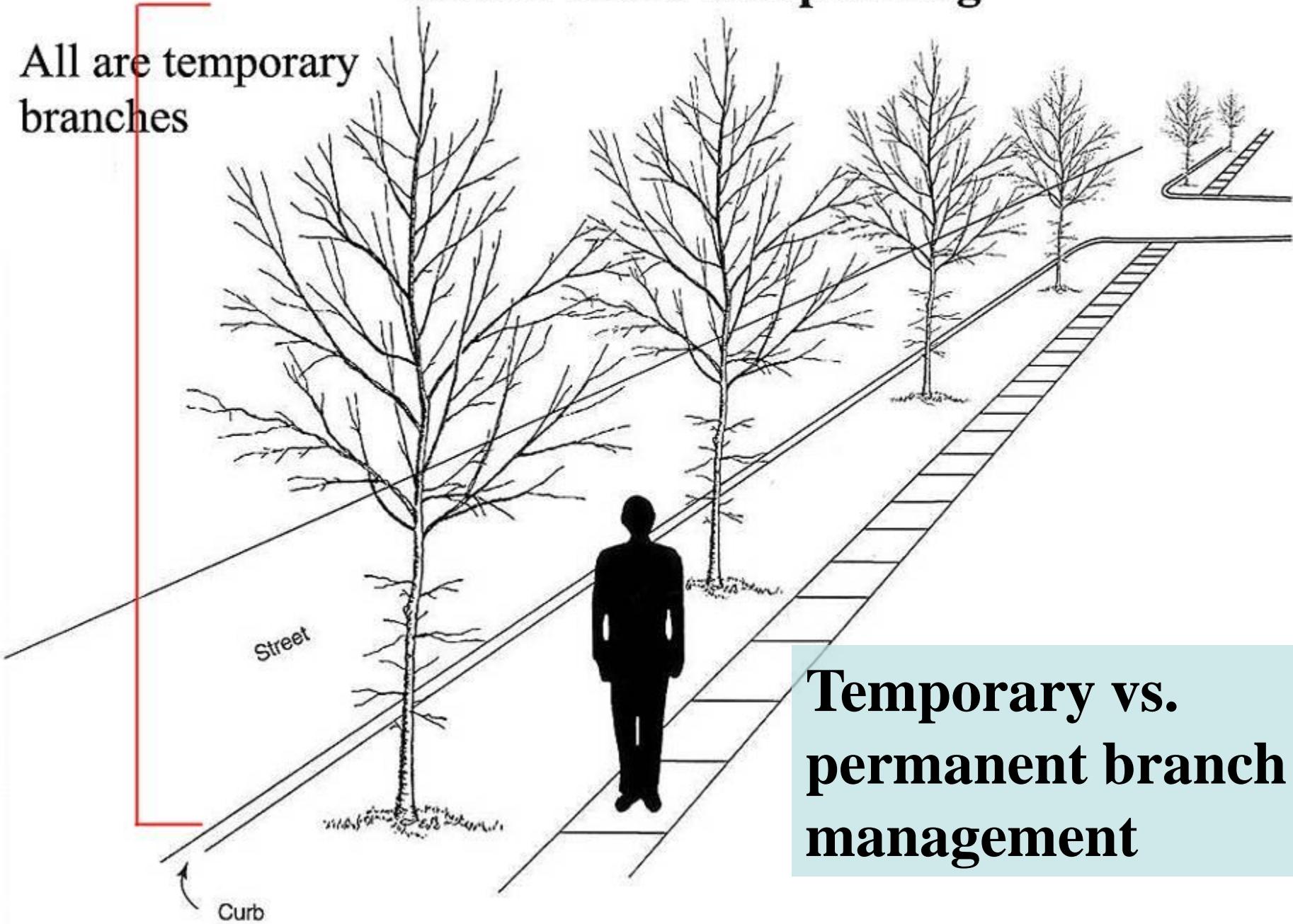


# Temporary vs. permanent branch management

Keep in mind that all branches will eventually be removed on trees less than 4" caliper

# Recent street tree planting

All are temporary  
branches



**Temporary vs.  
permanent branch  
management**

# Pruning Plan: First 5 years

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Most branches are temporary.

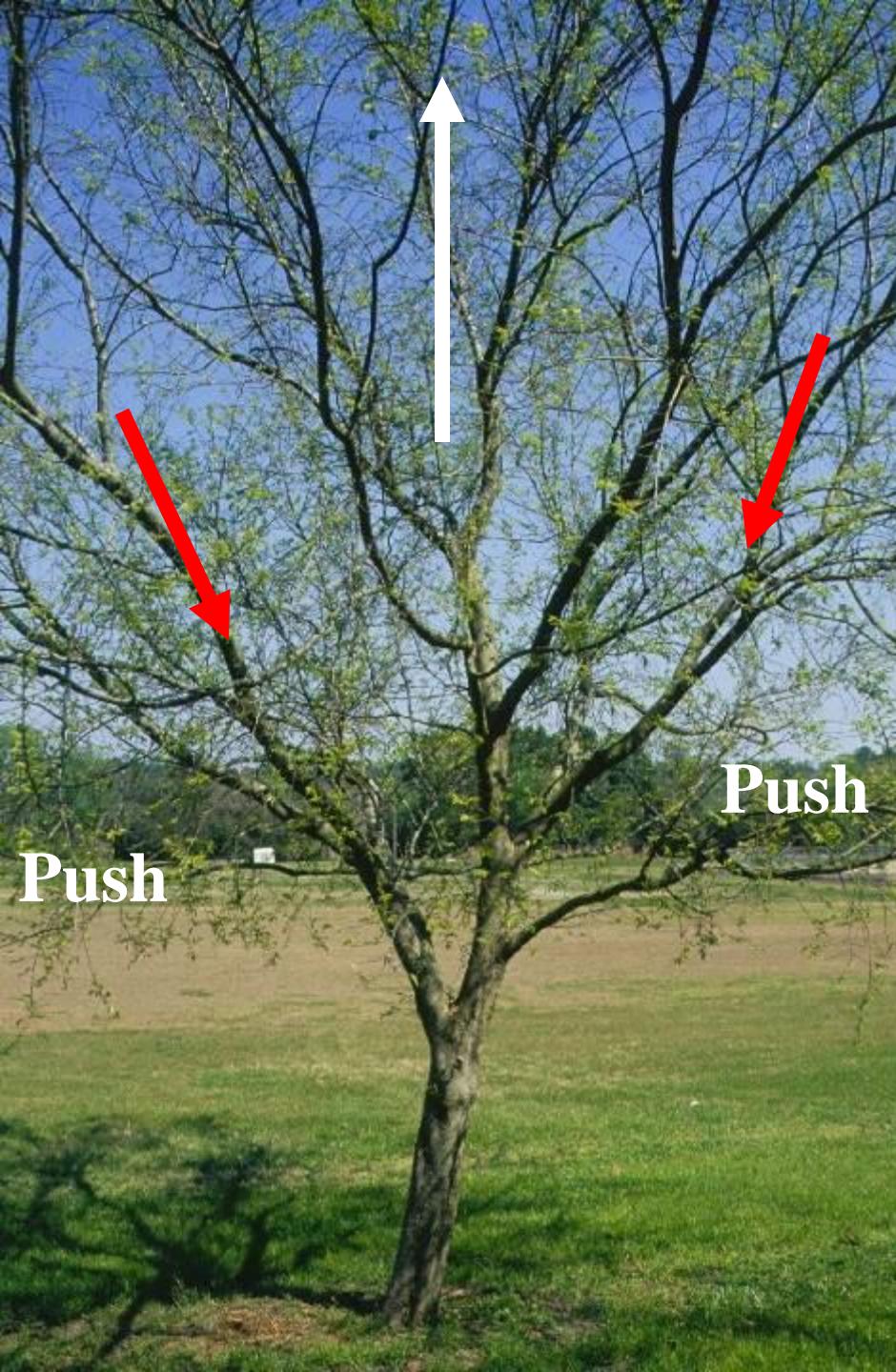
Do not remove more than 35% of live foliage at a pruning visit.

***Reduce*** all branches greater than  $\frac{1}{2}$  trunk diameter.

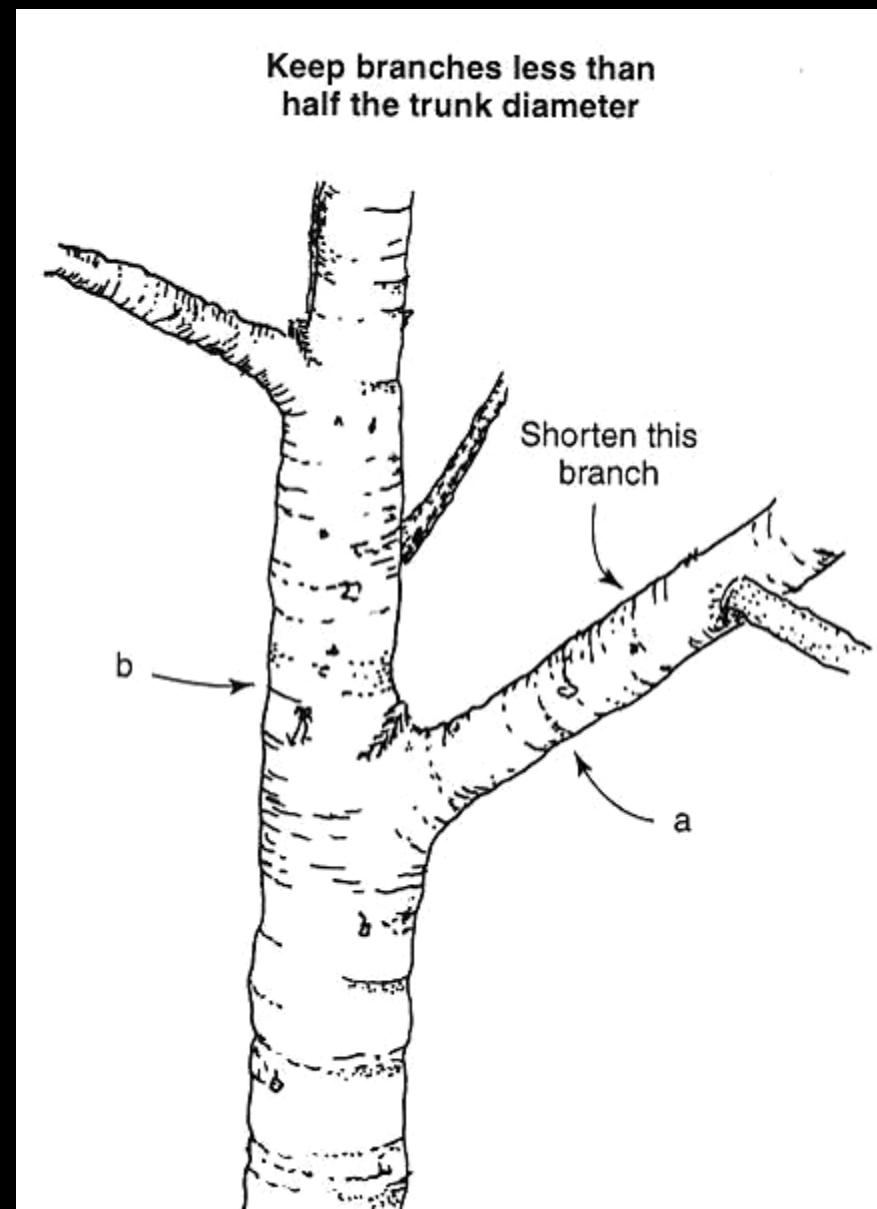
***Reduce and/or remove*** all branches or stems competing with the one selected to be the leader.

***Reduce and/or remove*** large, low vigorous branches.

***Remove*** broken, cracked or severely damaged branches.



Reduce growth rate of low aggressive branches



Before

Push  
back

After

Year two

Before



Structural pruning is a three step process:

1. Identify the stem that will make the best leader.
2. Identify which stems are competing with this leader.
3. Decide where to shorten these competing stems.

**Before**



**After**



# Pruning Plan: 5 – 20 years

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Do not remove more than 25-35% of live foliage.

***Reduce*** all branches greater than  $\frac{1}{2}$  trunk diameter.

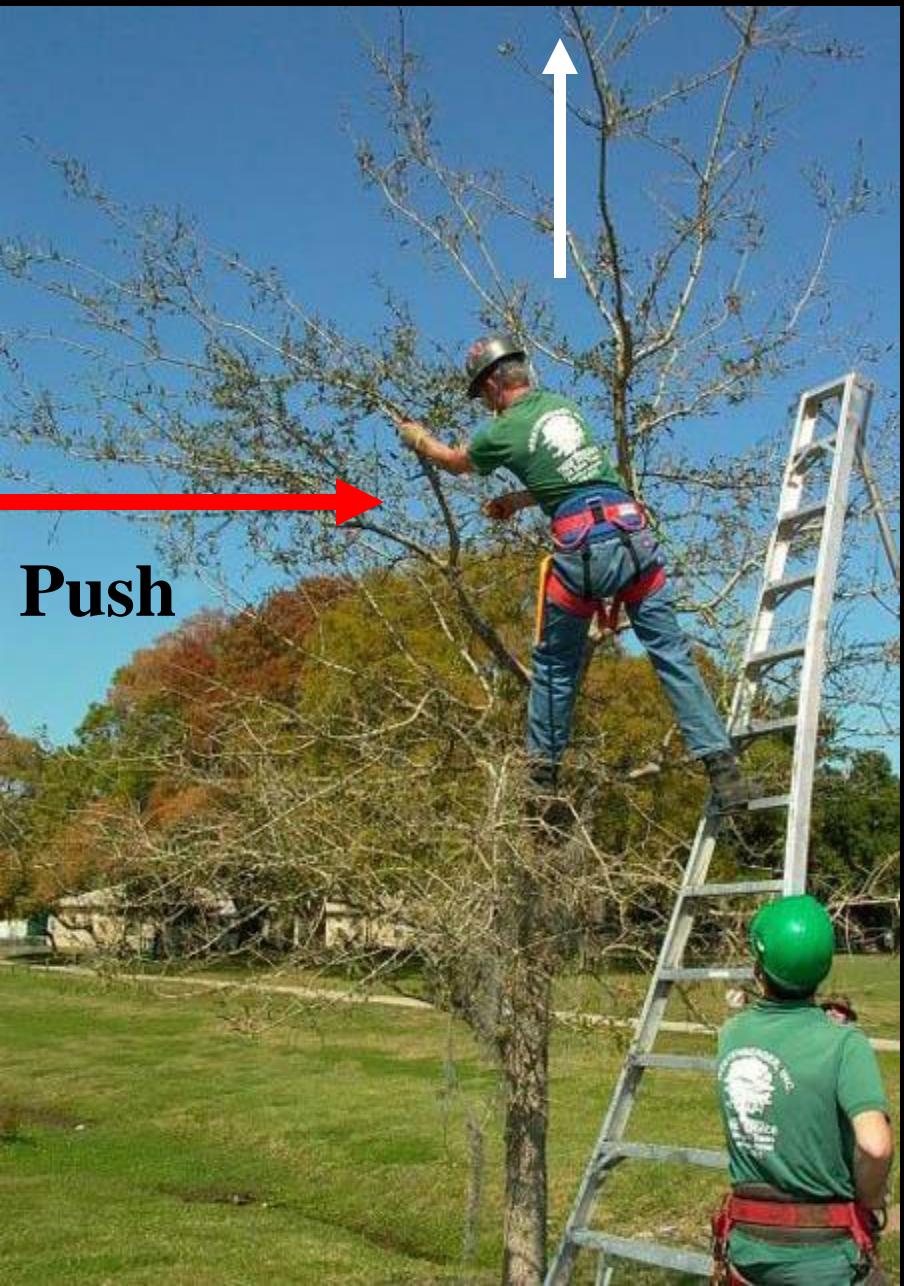
Identify lowest scaffold limbs of the permanent canopy and ***reduce*** all aggressive lower branches.

***Reduce*** branches with included bark.

***Reduce or remove*** competing leaders (if there are more than 3 competing leaders, this can be done in stages).

***Reduce*** branches within 18" of largest limbs.

# Before



# After



**Before**



Two years later

**Before**



**After**



Dominant leader  
structure after two  
pruning visits



# Before pruning



# After pruning



Transforming a bush  
into a tree

# Two years later



# Pruning Plan: 20 – 30 years

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Identify 5 to 10 permanent scaffold limbs and *reduce* branches within 18-36'' to avoid clustered branches.

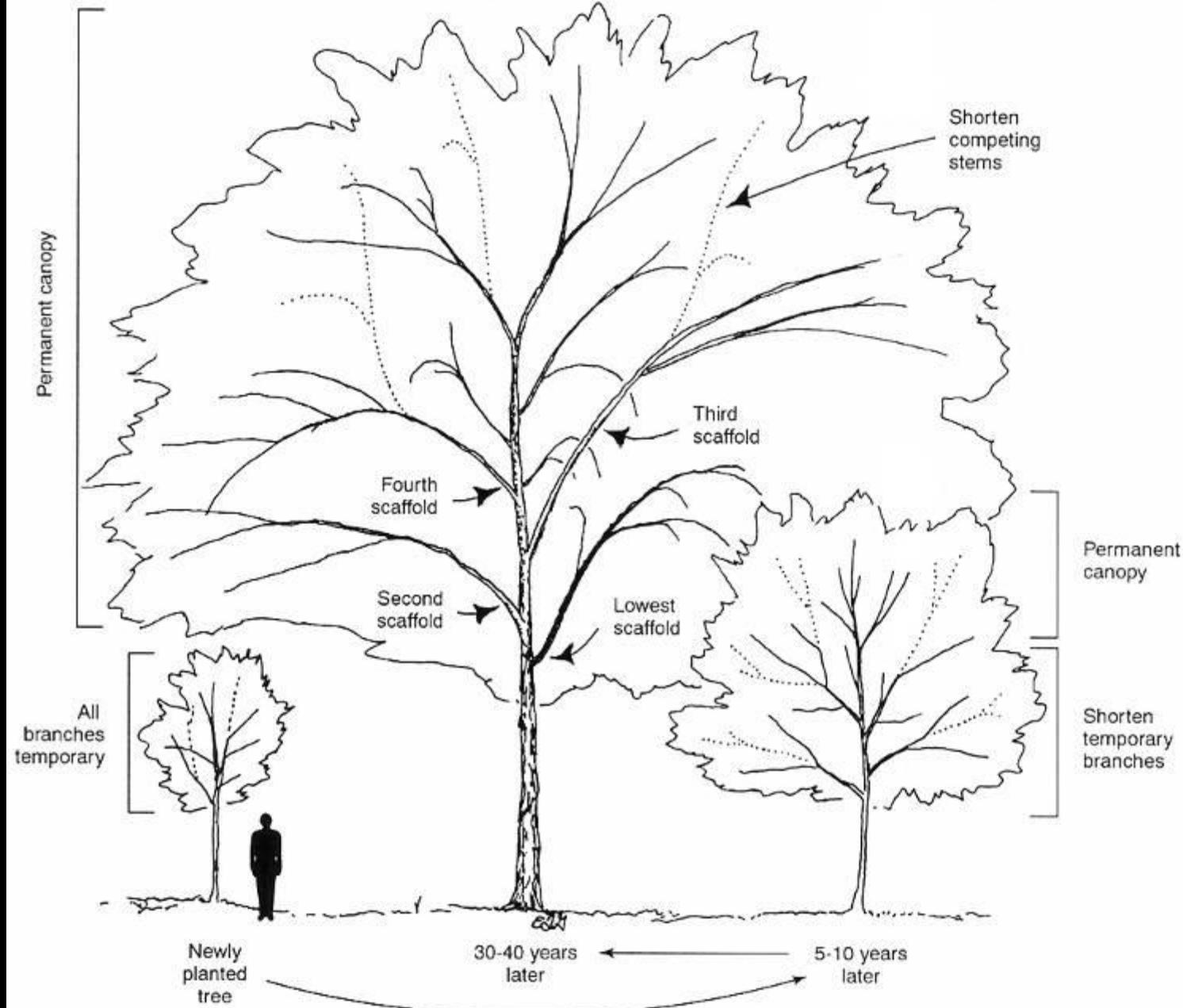
Space permanent scaffold limbs to reduce wind resistance.

*Remove* many or all of the branches below the first permanent limb.

*Reduce* branches with included bark.

*Reduce and/or remove* competing leaders.

# Developing the permanent canopy





Reduce growth on  
branches below permanent  
canopy





2 years later



After

**before**



**after**



Here is a tree that was damaged in a storm. As a result, many stems are growing upright



Remove two upright, interior stems



**Before**



**After removing right codominant stem**



**Before -  
year 8**



**After**



**Debris**

One year after  
pruning



After



Debris

One year after  
pruning



18 months after pruning



With dedication to a management plan, your community can become a model for others

